

MACRO-MODELING AND ENERGY EFFICIENCY STUDIES OF FILE  
MANAGEMENT IN EMBEDDED SYSTEMS WITH FLASH MEMORY

A Thesis

by

NITESH GOYAL

Submitted to the Office of Graduate Studies of  
Texas A&M University  
in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE

May 2005

Major Subject: Computer Science

MACRO-MODELING AND ENERGY EFFICIENCY STUDIES OF FILE  
MANAGEMENT IN EMBEDDED SYSTEMS WITH FLASH MEMORY

A Thesis

by

NITESH GOYAL

Submitted to Texas A&M University  
in partial fulfillment of the requirements  
for the degree of

MASTER OF SCIENCE

Approved as to style and content by:

---

Rabi Mahapatra  
(Chair of Committee)

---

Riccardo Bettati  
(Member)

---

Deepa Kundur  
(Member)

---

Valerie E. Taylor  
(Head of Department)

May 2005

Major Subject: Computer Science

## ABSTRACT

Macro-modeling and Energy Efficiency Studies of File Management in Embedded Systems with Flash Memory. (May 2005)

Nitesh Goyal, B.S., The University of Texas at Austin

Chair of Advisory Committee: Dr. Rabi Mahapatra

Technological advancements in computer hardware and software have made embedded systems highly affordable and widely used. Consumers have ever increasing demands for powerful embedded devices such as cell phones, PDAs and media players. Such complex and feature-rich embedded devices are strictly limited by their battery life-time. Embedded systems typically are diskless and use flash for secondary storage due to their low power, persistent storage and small form factor needs. The energy efficiency of a processor and flash in an embedded system heavily depends on the choice of file system in use. To address this problem, it is necessary to provide system developers with energy profiles of file system activities and energy efficient file systems. In the first part of the thesis, a macro-model for the CRAMFS file system is established which characterizes the processor and flash energy consumption due to file system calls. This macro-model allows a system developer to estimate the energy consumed by CRAMFS without using an actual power setup. The second part of the thesis examines the effects of using non-volatile memory as a write-behind buffer to improve the energy efficiency of JFFS2. Experimental results show that a 4KB write-behind buffer significantly reduces energy consumption by up to 2-3 times for consecutive small writes. In addition, the write-behind buffer conserves flash space since transient data may never be written to flash.

To my Parents

## ACKNOWLEDGMENTS

I thank Dr. Rabi Mahapatra for guiding me in my research. I am grateful to him for letting me take up this interesting work.

I would like to thank Siddharth for introducing me to Linux hacking and embedded systems.

I have had an enjoyable and memorable time working in the Codesign group. I wish to thank Praveen, Junyi, Purna, Subrata and Jason for the enjoyable discussions I had with them.

I would like to thank Dr. Kundur and Dr. Bettati for serving on my committee and taking time to help me with this thesis.

Finally, I would like to thank my parents and sister for their encouragement and unconditional love.

## TABLE OF CONTENTS

CHAPTER		Page
I	INTRODUCTION . . . . .	1
	A. Macro-modeling File System Energy Consumption . . . . .	1
	B. Flash File Systems . . . . .	1
	C. Motivation . . . . .	2
	D. Contributions of the Thesis . . . . .	3
II	BACKGROUND AND PROPOSED RESEARCH . . . . .	4
	A. Memory Storage . . . . .	4
	1. RAM . . . . .	4
	2. Flash Memory . . . . .	5
	B. Macro-modeling . . . . .	6
	C. File Systems . . . . .	7
	1. The Virtual File System (VFS) . . . . .	7
	2. Log-structured File Systems . . . . .	8
	3. Flash File Systems . . . . .	9
	D. Experimental Setup . . . . .	10
	1. CPU Energy Measurement . . . . .	12
	2. Flash Energy Measurement . . . . .	13
III	MACRO-MODELING OF CRAMFS . . . . .	15
	A. CRAMFS . . . . .	15
	B. Macro-modeling . . . . .	15
	1. Methodology . . . . .	15
	2. Macro-modeling and Regression Analysis . . . . .	17
	C. Experiment Results . . . . .	18
	1. Introduction . . . . .	18
	2. Results . . . . .	19
	3. Analysis . . . . .	20
IV	IMPROVING ENERGY EFFICIENCY OF JFFS2 . . . . .	23
	A. Introduction . . . . .	23
	B. Operations in JFFS2 . . . . .	23
	C. Write-behind Buffer Approach . . . . .	24

CHAPTER		Page
	D. Design of Write-behind Buffer . . . . .	25
	1. Data Structures . . . . .	25
	2. Operations . . . . .	26
	3. Flushing the Buffer to Flash . . . . .	28
	E. Experiment Results . . . . .	28
	1. Introduction . . . . .	28
	2. Results and Analysis . . . . .	29
	a. Sequential Write Performance . . . . .	29
	b. Random Write Performance . . . . .	31
V	CONCLUSION . . . . .	33
VI	FUTURE WORK . . . . .	34
	A. Macro-model for JFFS2 (NAND Flash) and YAFFS . . . .	34
	B. Energy Efficient Garbage Collection for JFFS2 . . . . .	34
	REFERENCES . . . . .	35
	APPENDIX A . . . . .	38
	VITA . . . . .	39

## LIST OF TABLES

TABLE		Page
I	Comparision of MRAM Features with Other Memory Technologies .	5
II	Power Characteristics for Flash . . . . .	14
III	CRAMFS Processor and Flash Energy (nJ): System Call . . . . .	19
IV	CRAMFS Benchmark Processor and Flash Read Energy (nJ) . . . .	19



## LIST OF FIGURES

FIGURE		Page
1	NOR vs NAND Flash . . . . .	6
2	The Virtual File System Layer (VFS) . . . . .	8
3	Organization of a Flash File System . . . . .	10
4	The LART (Linux Advanced Radio Terminal) Board . . . . .	11
5	LART Energy Measurement Setup . . . . .	12
6	Differential Amplifier Circuit . . . . .	12
7	Comparision of Processor Energy Consumption . . . . .	21
8	Comparision of Flash Energy Consumption . . . . .	22
9	JFFS2: Writing Nodes to Flash . . . . .	23
10	JFFS2: Recreating Data Range Map . . . . .	24
11	JFFS2 with Write-behind Buffer . . . . .	25
12	JFFS2 Sequential Write Performance . . . . .	30
13	JFFS2 Random Write Performance . . . . .	31

## CHAPTER I

### INTRODUCTION

Technological advancements in computer hardware and software have made embedded systems highly affordable and widely used. Most embedded systems are strictly limited by their battery lifetime. Embedded systems usually do not use disk drives for secondary storage since disk drives have high power [1] and space requirements and a low tolerance for movement compared to flash memory. As a result, embedded systems typically use flash for secondary storage due to their low power, persistent storage and small form factor needs [2].

#### A. Macro-modeling File System Energy Consumption

Macro-modeling is an estimation based technique that pre-characterizes a system and provides a high level equation of the system. Such high level equations or models can be used to estimate the power consumption of embedded file system operations without using an actual power setup. Since embedded file systems use flash for secondary storage, an accurate macro-model for a file system would have to characterize energy consumption due to the CPU and flash separately. Such a macro-model could be developed by running file system operations on different file sizes and using regression analysis to formulate a macro-model.

#### B. Flash File Systems

Conventional block based file systems such as EXT2 do not efficiently use flash due to various limitations of flash. Writes to flash need to be preceded by erasing the whole

---

The journal model is *IEEE Transactions on Automatic Control*.

eraseblock. Since block based file systems read/write 512 byte sectors at a time, writing to flash would require reading the eraseblock containing the 512 byte sector, modifying it in memory, erasing the eraseblock and finally writing the in-memory copy to flash. Additionally, eraseblocks in flash can be erased only a limited number of times ( $< 100,000$ ). To mitigate such issues, flash file systems such as JFFS2 [3] and YAFFS [4] have been developed which directly operate on flash rather than writing indirectly to flash. JFFS2 and YAFFS also perform wear-leveling to ensure that eraseblocks are evenly used and thus prevent the premature flash failure.

### C. Motivation

The energy efficiency of a processor and flash in an embedded system heavily depends on the choice of file system in use. The energy consumption of the file system indicates the longevity of battery life in an embedded system. It is thus necessary to provide embedded system developers with energy efficient file systems and energy consumption profiles of such file systems.

Due to fast time to market, embedded system developers do not have the time or experimental setup to measure the energy consumption of file system related activities. Macro-modeling a file system allows an embedded system developer to select an appropriate file system based on his/her power budget. The first goal of this thesis is to develop a macro-model for CRAMFS.

The macro-model developed in [5] for JFFS2 highlights that writes consume the most energy compared to other file system operations. Improving the energy efficiency of writes would directly help improve the overall energy efficiency of JFFS2. Currently, JFFS2 writes synchronously to flash due to reliability issues. However, with promising technological advancements in persistent RAM technologies such as

MRAM [6], embedded systems could soon economically use small sizes of MRAM. A write-behind buffer could be developed which would enable asynchronous writes to flash, yet maintaining reliability provided by synchronous writes. The second goal of this thesis is to examine the effects of using non-volatile memory such as MRAM as a write-behind buffer to improve the energy efficiency of JFFS2.

#### D. Contributions of the Thesis

1. In the first part of the thesis, we improve the macro-model developed in [5] by developing a macro-model for the read-only CRAMFS file system [7]. Various system calls such as read, open and close are macro-modeled.
2. We also compare and contrast the file read operation of CRAMFS with the existing file systems in the macro-model developed in [5]. Such a study highlights the advantages of using CRAMFS over JFFS2 and EXT3 for read-only file system partitions.
3. In the second part of the thesis, we examine the effects of using non-volatile memory as a write-behind buffer to improve the energy efficiency of JFFS2. Experimental results show that a 4KB write-behind buffer significantly reduces energy consumption for consecutive small writes. In addition, the write-behind buffer conserves flash space since transient data may never be written to flash.

## CHAPTER II

### BACKGROUND AND PROPOSED RESEARCH

#### A. Memory Storage

##### 1. RAM

Embedded systems typically use DRAM for primary memory storage. DRAM does not contain batteries, and instead draws its power from the processor's power supply unit. In the event of a power loss, all data stored in DRAM can be lost. Therefore, embedded systems typically use flash or hard drives for secondary memory storage. Although flash provides excellent read performance, its write performance is very slow.

Battery-backed DRAM provides excellent read and write performance but its reliability is short lived for a few hours. SRAM, backed by on-board batteries (which can last to up to a year) provides longer lasting persistence memory storage. Although more expensive than DRAM and flash, it is economical to contain small sizes of SRAM ( $< 100$  KB) to store write buffers. Recently, magnetic RAM (MRAM) [8] is seen as a promising emerging persistent RAM technology. MRAM chips use magnetic rather than electric structures to store data, thus not requiring constant power and periodic refreshing like DRAM. MRAM [6] compared to DRAM, is expected to drastically reduce the energy consumption of embedded devices. Table I [6] compares the expected features of MRAM with other memory technologies.

Various companies such as IBM [9] and Freescale Semiconductor [6] plan to release MRAM chips in the near future.

Table I. Comparision of MRAM Features with Other Memory Technologies

	SRAM	DRAM	Flash	MRAM
Read Time	Fast	Moderate	Moderate	Moderate-Fast
Write Time	Fast	Moderate	Slow	Moderate-Fast
Nonvolatile	No	No	Yes	Yes
Refresh	N/A	Yes	N/A	N/A
Minimum Cell Size	Large	Small	Small	Small
Low Voltage	Yes	Limited	No	Yes

## 2. Flash Memory

Embedded system designers are increasingly using flash instead of hard disks for secondary storage due to their low power, persistent storage and small form factor needs. The two popular types of flash [10] are the directly accessible NOR flash, and the newer, cheaper NAND flash. The names refer to the type of logic gates used for each storage cell. While NOR flash is directly accessible like DRAM, NAND flash is accessible only through a single 8 bit wide bus.

The characteristics of flash are quite different from hard disks. Flash space is typically divided into 128KB (NOR) and 8KB (NAND) blocks. Writing to flash is different from writing to RAM. A clean Flash contains all bits set to logical one. The bits in Flash can be individually set from logical one to zero by writing to flash. Resetting a bit from logical zero to one requires a complete erase of the block. Thus, in order to write one bit in Flash, an entire block has to be read into RAM, modified, erased and then written back to flash. The life span of flash typically allows blocks to be erased about 100,000 to 1,000,000 times. This limitation requires writes to blocks in flash to be evenly distributed. Such a process is known as wear-leveling. Flash

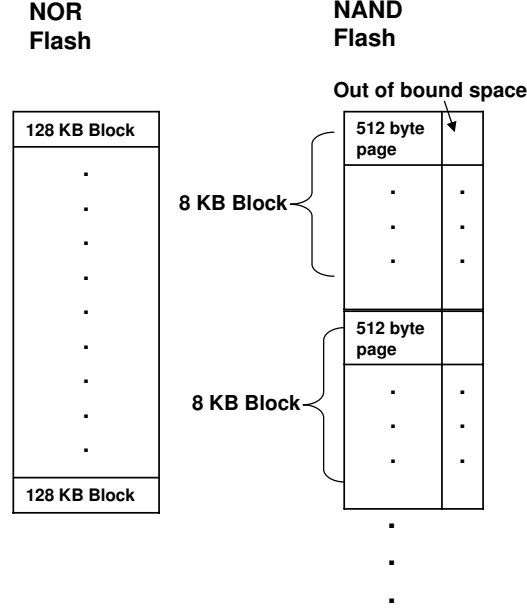


Fig. 1. NOR vs NAND Flash

based file system perform wear-leveling to ensure that blocks are evenly used.

Figure 1 illustrates the structure of NAND and NOR flash. Blocks in NAND flash are further divided into pages (512 bytes) which can only be written about 3-10 times before an erase of the block is required. Each page has an extra spare (out of bound) space which is used to store file system metadata and error correction codes. Writing above such a limit causes the contents of that page to become undefined. Writes to a page in NAND flash are stored in a buffer before being flushed and written to NAND flash.

## B. Macro-modeling

Macro-modeling of Operating System energy has been proposed in [11] and [12] where processor energy consumption has been related to kernel system calls. Choudhri et

al. [5] developed a macro-model for JFFS2 and EXT3 [13]. Their macro-model characterizes file system energy consumption in terms of energy consumed by both the processor and flash. In the first part of the thesis, we propose to develop a macro-model for CRAMFS and compare its energy efficiency with JFFS2 and EXT3.

### C. File Systems

A file system is part of the operating system which helps organize and manage files and directories on a secondary storage device. It allows data to be stored, searched and retrieved easily. JFFS2, YFFS, CRAMFS and RAMFS are some of the commonly used file system in embedded systems.

#### 1. The Virtual File System (VFS)

The VFS layer is an abstract file system layer used by Linux to provide a file system interface to user space programs. The VFS layer helps decouple the user space programs from the actual file systems. As a result, Linux is able to support multiple file systems such as EXT2/3, JFFS2 and CRAMFS. The VFS layer handles the generic operations of a file system, such as caching file metadata and pages, error checking, maintaining use of locks and communicating with the user space programs. On the completion of the generic tasks, the VFS layer delegates the tasks to the lower level file systems.



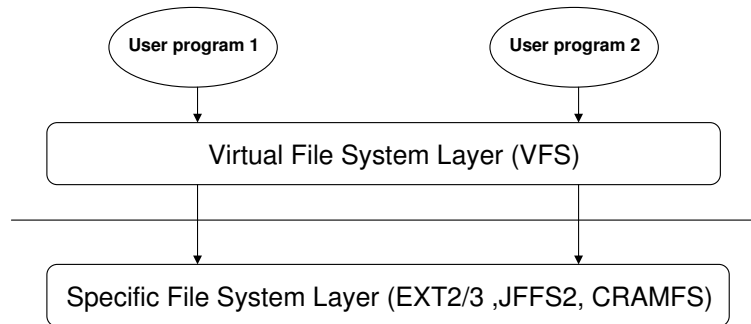


Fig. 2. The Virtual File System Layer (VFS)

Figure 2 illustrates the relation between the VFS and specific file system layer.

The VFS interface has a common file object model which consists of the following objects:

1. Super block: stores data pertaining to the mounted file system.
2. Inode: stores meta-data for a single file, such as access and creation times, size, owner, group and permissions. Files are identified using a unique *inode number*.
3. File: stores information about a file and the processes using it.
4. Dentry: maps a directory entry(file's pathname) with its corresponding file inode. The VFS caches the recently used dentry objects to speed up file lookup operations.

## 2. Log-structured File Systems

The concept of a log structured file system was first introduced in Sprite LFS [14]. A log-structured file system logs changes of data and meta-data sequentially to the storage medium. Sprite LFS dramatically improves write performance by eliminating

most seeks and aggregating writes into a cache before writing to disk. Due to its sequential nature, Sprite LFS also permits faster crash recovery compared to conventional file systems. Log-structured file systems are therefore ideal for embedded devices since most embedded systems may shutdown anytime due to power losses and erratic shutdowns by the user.

### 3. Flash File Systems

Several flash file systems have been developed which use flash as a storage medium. Kawaguchi et al. [15] presented a flash translation layer that provides a 1:1 mapping between flash and an emulated block device. eNVY [16] uses a large amount of flash as main memory and a small amount of battery backed SRAM for write buffering. Microsoft Flash File System [17] provides MS-DOS compatibility with flash medium. Chang et al. [18] proposed a tree based memory management scheme for high capacity flash-memory based storage systems. The management scheme is based on the behaviors of realistic access patterns. MRAMFS [19] is a non-volatile RAM based file system which conserves space by compressing file meta-data and data blocks in NVRAM. Dai et al. [20] proposed ELF, a log-structured flash based file system tailored for sensor nodes. Since RAM is extremely scarce in sensor nodes, ELF judiciously uses RAM and optimizes append writes by buffering writes into a small buffer in RAM. Most file systems mentioned above use flash to emulate a block device. These file systems use a virtual block layer to interface with the flash medium. EXT3 and CRAMFS are examples of such a file system. Flash translation layers are used to provide 1:1 mappings between flash and the emulated block device. Such an approach is inefficient and can cause improper wear-leveling.

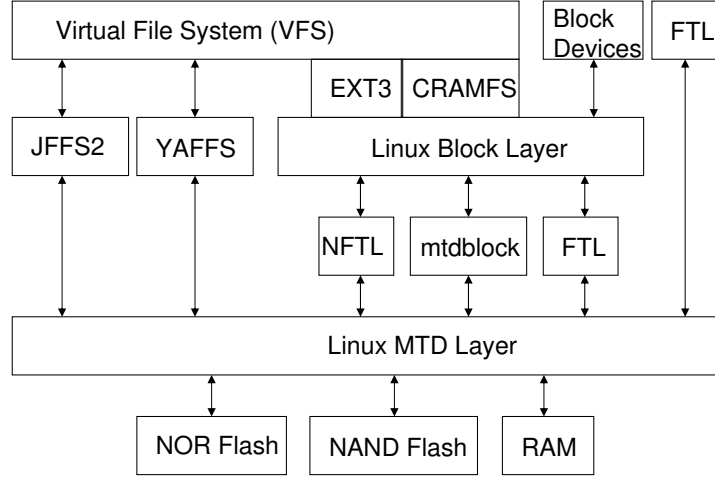


Fig. 3. Organization of a Flash File System

Figure 3 illustrates the organization of a flash file system in Linux. JFFS2 [3] and YAFFS [4] directly operate on the flash chips rather than writing indirectly to flash. JFFS2 and YAFFS also perform wear-leveling to ensure that eraseblocks are evenly used and thus prevent premature flash failure. In the second part of this thesis, we propose to improve the file write energy efficiency of JFFS2. This can be accomplished by implementing a write-behind buffer using MRAM.

#### D. Experimental Setup

The experiments are executed on a LART (Linux Advanced Radio Terminal) [21] board which runs on an Intel StrongARM SA1100 processor [22] and holds 32MB DRAM and 4MB NOR flash. This configuration is sufficient for a linux kernel and ramdisk image. The LART board's performance is 250 MIPS and consumes less than 1 watt of power.

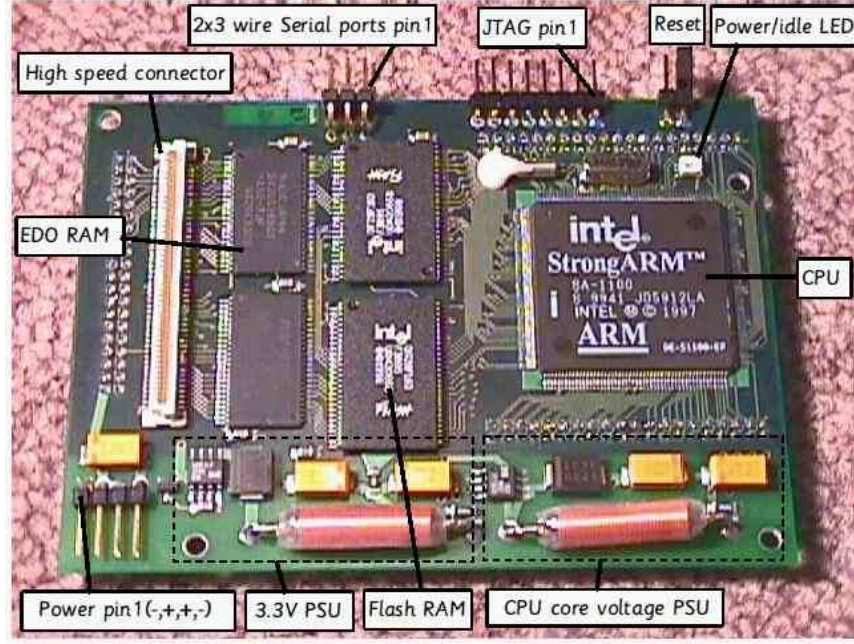


Fig. 4. The LART (Linux Advanced Radio Terminal) Board

Figure 4 shows the components of the LART board. The main DC power supply to the LART board branches off into two independent power supplies, namely, one for the processor (variable voltage), and the other (3.3V) for the flash and other board components. For our experiments, the variable voltage for the processor is set to 1.5V. The 4MB NOR flash used is manufactured by Intel [23]. The LART board is connected to the host machine via a 2x3 serial port with 9600-8N1 serial settings. The host machine consists of dual AMD Athlon 1.5 GHz processors and runs Linux as the operating system. Due to limited system resources on the LART board, the kernel image and drivers are cross-compiled using the arm-linux-gcc compiler on the host machine. The ARM executables are transferred to the LART board from the host machine via the serial port.

We follow the same energy measurement methodology as used in [5]. Since the processor and flash have separate power supplies, the processor and flash energy

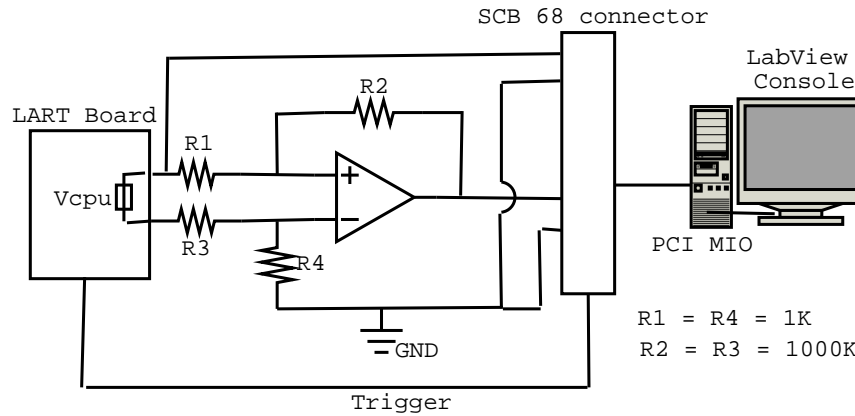


Fig. 5. LART Energy Measurement Setup

consumption are measured separately. The total file system energy consumption is a summation of the processor and flash energy consumption.

### 1. CPU Energy Measurement

The processor energy is measured using a PCI based data acquisition board and Labview as shown in Figure 5. The LART board provides a low value sense resistor in series with the processor power supply.

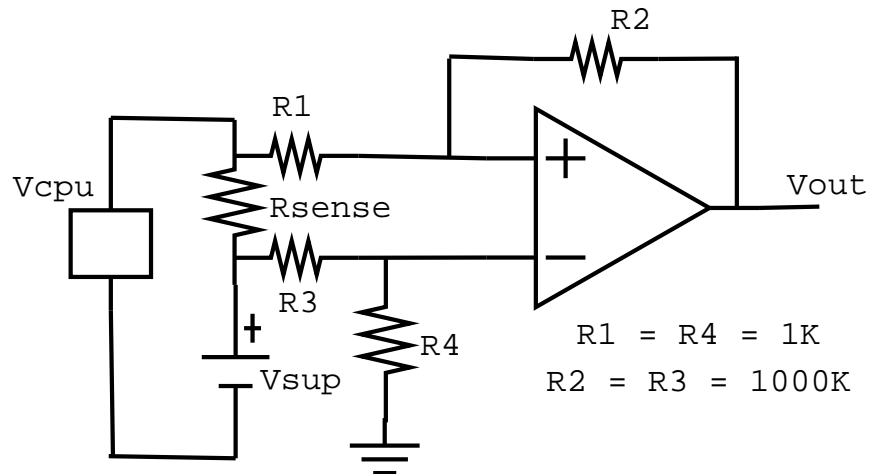


Fig. 6. Differential Amplifier Circuit

Since the voltage drop across the sense resistor is very small, it is amplified using a standard differential amplifier (Figure 6) based on an operational amplifier. The equation of the amplified output is given by

$$V_{out} = \frac{R_2}{R_1} * (V_2 - V_1) \quad (2.1)$$

where the difference in voltage across the sense resistor  $R_{sense}$  is  $V_2 - V_1$ .

The output of the differential output circuit is read by Labview via the PCI based data acquisition card. The duration of the processor energy consumption is measured by sending GPIO signals to the data acquisition card using a `/proc/trigger` interface to the kernel. Labview integrates the power measured between the start and stop intervals by the following equation

$$E_{cpu} = \int_{TRIG_{start}}^{TRIG_{stop}} I(t) * V_{dd} dt \quad (2.2)$$

where  $TRIG_{start}$  and  $TRIG_{end}$  denote the interval during which measurements are made.

## 2. Flash Energy Measurement

Flash memory accesses are traced to measure flash's energy consumption. The flash driver is modified to log the access times of flash depending on the mode of operation (read/write/program/erase). An accurate flash energy consumption of file system related system calls is done on a per process basis using the following equation

$$E_{flash} = V_{dd} * I_{mode} * t_{access} \quad (2.3)$$

$I_{mode}$  is obtained from [23] and depends on the type of operation (read/write/program/erase). The flash read and write energy are logged using the `/proc/rtrace` and `/proc/wtrace` proc entries. The power characteristics of the Intel NOR flash chip are shown in

Table II [23]. The 4MB NOR flash has three partitions for the filesystem (3MB),

Table II. Power Characteristics for Flash

Parameter	Current	Units
Read Current	45	mA
Program Current	8	mA
Standby Current	30	uA

Linux kernel (896 KB) and bootloader (128 KB). Only the 3MB filesystem partition is profiled for our experiments since the root partition which contains /var and other system directories is loaded into RAM.

## CHAPTER III

### MACRO-MODELING OF CRAMFS

#### A. CRAMFS

CRAMFS (Compressed ROM File System) [7] is a read-only compressed file system designed for ROM based file systems. CRAMFS is widely used in embedded systems such as iPAQ PDAs [24] for files which require read-only access. Providing read-only access to files enables CRAMFS to have a simple design and high compression rate. Files are compressed (using the zlib routines) into pages which allow fast random page access. Besides being a read-only file system, CRAMFS doesn't support timestamps, hard links and 16/32 bit group/user ids. Since CRAMFS cannot be written to, the initial file system image is created using the "mkcramfs" utility program.

#### B. Macro-modeling

This section discusses the overall methodology and approach taken to develop the macro-model for CRAMFS. We used the macro-modeling methodology developed in [5] to develop the CRAMFS macro-model. We ran several experiments that isolated the file system related operations with varying file sizes and measured the energy consumption due to processor and flash separately. A linear equation was then generated using regression analysis to relate file system operations to processor and flash energy consumption.

##### 1. Methodology

All files stored in CRAMFS are compressed since compression helps CRAMFS conserve flash. CRAMFS decompresses the data on the fly while reading it. The test



programs used in all the file system operations, used files with random data in order to formulate a macro-model with a worst case upper bound.

Reading data from a file is the most important file system operation since CRAMFS is a read-only file system. The following steps were taken to formulate a macro-model for the read system call:

1. A test program was written which reads data of a specific size from CRAMFS. Triggers are sent to Labview to accurately start and stop the energy measurement of the test program. After triggering Labview to start the energy measurement, the test program starts reading data from twenty different files. Upon completion of reading all twenty files, a trigger is again sent to Labview to stop the energy measurement. Twenty files were read since the time required to read one small file is too small to measure. The processor and flash energy consumed for this read system call is divided by 20 to calculate the average.
2. Labview is used to measure the average processor energy consumption. The average flash energy consumption is calculated by reading the bytes read from flash using the `/proc/rtrace` proc entry. The `rtrace` proc entry stores the read energy consumed by each process.
3. Steps 1 and 2 are repeated by varying the file size from 100 bytes to 1024 KB.
4. Having measured the processor and flash energy consumption for varying file sizes, linear equations are calculated which relate the processor and flash energy consumption to the varying file sizes.

## 2. Macro-modeling and Regression Analysis

A macro-model to calculate the processor and flash energy consumption in CRAMFS is developed as follows:

$E_{cpu}(x)$  = CPU energy consumption of a CRAMFS file system operation of  $x$  bytes.

$E_{fr}(x)$  = Flash energy consumption of a CRAMFS read operation of  $x$  bytes.

The above equations can be formulated as follows:

$$E(x) = f(a_0, a_1x, a_2x^2, a_3x^3, a_4x^4, \dots) \quad (3.1)$$

We can ignore  $a_2$  and higher terms in the equation (3.1) since the processor and flash energy consumptions are directly proportional to the number of bytes read from CRAMFS. As a result, we get the following two independent equations:

$$E_{cpu}(x) = A_{cpu}x + B_{cpu} \quad (3.2a)$$

$$E_{fr}(x) = A_{fr}x + B_{fr} \quad (3.2b)$$

The unknowns in equation (3.2) can be calculated by using regression analysis as follows:

1. Once we have measured  $n$  varying file sizes for a particular file system operation, we will have a set of  $n$  values  $\{(e_0, x_0), (e_1, x_1), (e_2, x_2), \dots, (e_n, x_n)\}$  that map the energy consumption ( $e_i$ ) to the file size in bytes ( $x_i$ ). Furthermore, the

unknowns A and B can be solved using the following matrix:

$$\begin{pmatrix} 1 & x_0 \\ 1 & x_1 \\ 1 & x_2 \\ \vdots & \vdots \\ 1 & x_n \end{pmatrix} * \begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} e_0 \\ e_1 \\ e_2 \\ \vdots \\ e_n \end{pmatrix} \quad (3.3)$$

2. A linear equation is obtained after solving for unknowns A and B as follows:

$$\mathbf{E}(x) = \mathbf{A}x + \mathbf{B} \quad (3.4)$$

This linear equation describes energy consumption as a function of the file size.

Since regression analysis is used to formulate the CRAMFS macro-model, there may be errors. Errors in the CRAMFS macro-model for each sample point are calculated by the the following formula:

$$error = \sqrt{\sum_1^n \frac{1}{n} \left( \frac{E_m - E_a}{E_a} \right)^2} \quad (3.5)$$

$E_m$  = The measured energy calculated using the CRAMFS macro-model

$E_a$  = The actual energy from measurement or trace

## C. Experiment Results

### 1. Introduction

Choudhri et al. [5] developed a macro-model for JFFS2 and EXT3. JFFS2 and EXT3 are both journaling file systems. In this section, we present the CRAMFS macro-model and also compare and contrast the file read operation of CRAMFS with

the existing file systems in the macro-model developed in [5].

## 2. Results

Table III. CRAMFS Processor and Flash Energy (nJ): System Call

System Call	Processor Energy (nJ)	Error	Flash Read Energy (nJ)	Error
read	$17x + 12282$	0.03	$20x + 193$	0.43
chown	11750	0.02	679	0.03
open	16700	0.04	940	0.04
close	15500	0.05	880	0.03
fstat	18250	0.09	1266	0.12

Table IV. CRAMFS Benchmark Processor and Flash Read Energy (nJ)

Benchmark	Processor Energy(nJ)			Flash Read Energy (nJ)		
	Traced	Evaluated	Error	Traced	Evaluated	Error
compress	41520	44058	6.1	50600	53255	5.2
ucbqsort	74320	82050	10.4	53500	58650	9.6
v42	88680	92505	4.3	69800	73113	4.7
jpeg	141940	148757	4.8	187940	194330	3.4
adpcm	68240	70165	2.8	43650	45240	3.6

Table III shows the energy consumption for CRAMFS due to various file system calls. The system call level energy consumption measurement is an important metric since user level applications communicate to the kernel via system calls. Since CRAMFS is a read-only file system, the read system call is the most important system call. Most applications that run on CRAMFS typically open, read and close a

file. The system calls `chown`, `open`, `close` and `fstat` have constant values since they don't depend on the file size. Although `chown` changes the ownership of a given file, all changes are made in RAM and not written to flash. Upon system reboot, changes made by the `chown` system call are lost.

Based on the above system call level equations, we have developed a tool for CRAMFS to profile energy consumption due to higher level file system operations. This tool first searches for file system related system calls used in a user program by using the "`strace`" unix command. Next, based on the results in Table III, the tool calculates the total processor and flash energy consumption due to file system related operations.

We evaluated the accuracy of the CRAMFS macro-model using selected benchmarks from the PowerStone benchmark suite. The benchmarks were modified to accommodate file reads. As Table IV shows, all benchmark tests were accurate within 10 percent margin of error. The percentage error shows the accuracy of the CRAMFS macro-model. Such a macro-model can be very useful to a system designer to estimate the energy consumption due to CRAMFS without using an actual power setup.

### 3. Analysis

In this section, we compare and contrast the file read performance of CRAMFS with JFFS2 and EXT3. CRAMFS and EXT3 are both block based file systems while JFFS2 directly reads and writes to flash. CRAMFS and JFFS2 both store compressed data. Files are read by decompressing the data in the file on the fly. To study the effects of compression, we analyze CRAMFS and JFFS2 both with files with worst case compression (randomly generated data) and files with best case compression (uniform data).

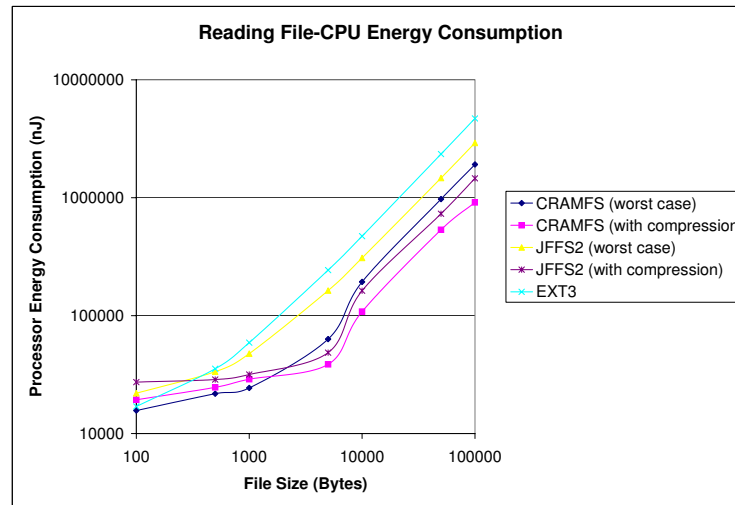


Fig. 7. Comparison of Processor Energy Consumption

The following results can be established for processor energy consumption as shown in Figure 7.

1. CRAMFS and JFFS2 are not suited for small files ( $<100$  bytes) since the overhead for decompressing the data in a file is of the order of the file size. Conversely, EXT3 consumes lesser energy than CRAMFS and JFFS2 for small file sizes since it doesn't decompress files. As the file size increases, the benefits of compression outweigh its overhead. Ext3 consumes the most energy for larger file sizes since it doesn't decompress data and has an additional layer that provides journaling.
2. CRAMFS (worst case compression) and JFFS2 (worst case compression) consume similar amounts of processor energy for large file sizes since processor cycles are wasted in trying to decompress data. CRAMFS consumes the least

amount of processor energy since it is designed as a simple, compressed, and read-only file system. As a result, the data structures required to manage CAMFS are simpler compared to JFFS2 and EXT3.

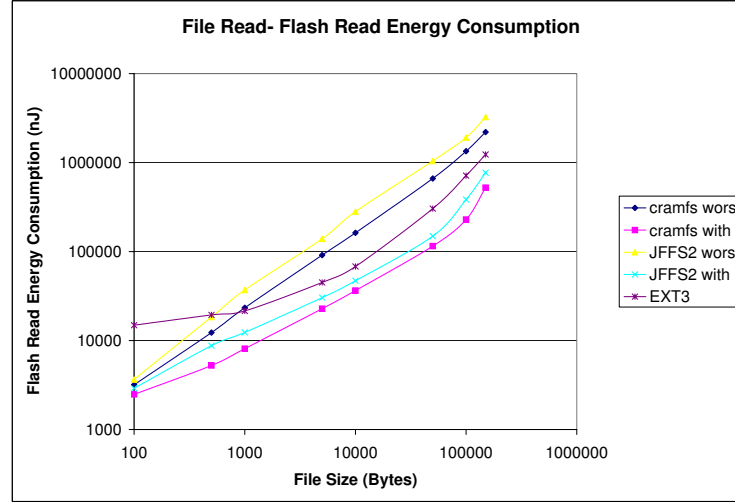


Fig. 8. Comparision of Flash Energy Consumption

The following results can be established for flash energy consumption as shown in Figure 8.

1. EXT3 is expensive for small files ( $< 500$  bytes) since it is a block device and has an additional layer for journaling. CRAMFS consumes the least amount of flash energy for all file sizes since it is read only and supports compression.
2. CRAMFS and JFFS2 with worst case compression consume the highest amount of flash energy. This is because of the extra overhead of the compression meta-data stored in the file itself.

## CHAPTER IV

### IMPROVING ENERGY EFFICIENCY OF JFFS2

#### A. Introduction

JFFS2 (Journaling Flash File System) [3] is a read/write and log based flash file system that supports compression and wear-leveling. The JFFS file system was originally designed by Axis Communications in Sweden. JFFS2 improved JFFS by improving garbage collection, and adding support for compression and hard links. JFFS2 has been very stable for NOR flash and is currently undergoing testing for NAND flash. In this thesis, we focus on improving the write energy efficiency of JFFS2 for NOR flash.

#### B. Operations in JFFS2

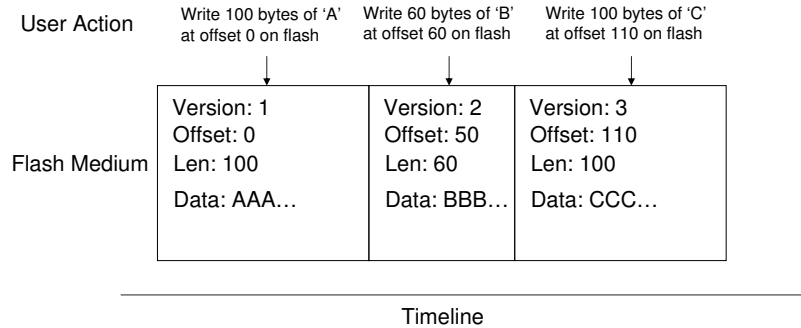


Fig. 9. JFFS2: Writing Nodes to Flash

Since JFFS2 is a log based file system, it contains a log of nodes which contains file data and meta-data. Nodes are written sequentially to a block on flash until the block is filled, as shown in Figure 9. Nodes have a version number which help



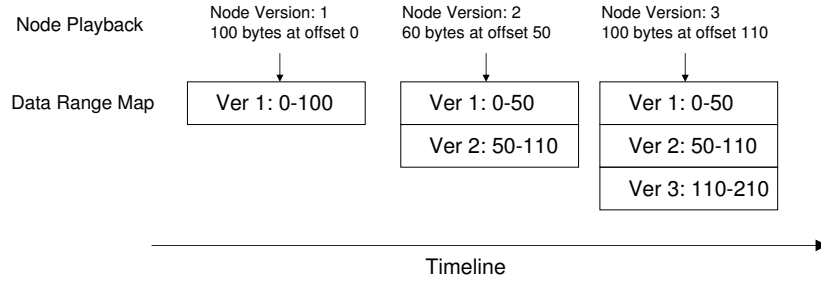


Fig. 10. JFFS2: Recreating Data Range Map

maintain a total ordering between all the nodes belonging to any inode. Each node written to the log contains a higher version number than all previous nodes belonging to the same inode. JFFS2 maintains various lists which tracks used, dirty and free blocks. Once a block is filled, a new block is taken from the free blocks list. The garbage collection thread is triggered once a certain number of free blocks are left. Garbage collection traverses the flash medium and tries to collate valid nodes into one block, and then erase blocks with obsolete nodes. JFFS2 provides wear-leveling by sequentially erasing and writing flash blocks across the flash medium.

Upon mounting JFFS2, the entire JFFS2 partition in flash is scanned and each node is read. Having read all nodes from flash, the nodes are arranged in version order to recreate a map of where each range of data lies in flash, as shown in Figure 10.

### C. Write-behind Buffer Approach

JFFS2's design goals are to efficiently use flash and ensure file system reliability once the system loses power. Since JFFS2 is largely used in embedded and battery powered devices, writes to flash are done synchronously. Synchronous writes improve the reliability of the embedded system at the cost of decreased write performance

and lower energy efficiency. As mentioned before, blocks in NAND flash are divided into pages. Pages can only be written about 3-10 times before an erase of the block is required. Thus, JFFS2 employs a small buffer for NAND flash which stores writes to a page and is flushed upon being filled. NOR flash doesn't have such a limit for writing to a block. To improve the write performance of JFFS2 for NOR flash, we have implemented a write-behind buffer of variable sizes. MRAM [8] is seen as a promising emerging persistent RAM technology. Such a write-behind buffer could be stored in MRAM to maintain system reliability. We assume that MRAM should become widely available and economical in the near future [6].

#### D. Design of Write-behind Buffer

##### 1. Data Structures

The write-behind buffer data structure consists of an array of bytes to store the buffer, a lock to prevent concurrent accesses to the buffer, the current and previous buffer offset, buffer size, current eraseblock, and the current eraseblock's offset in flash.

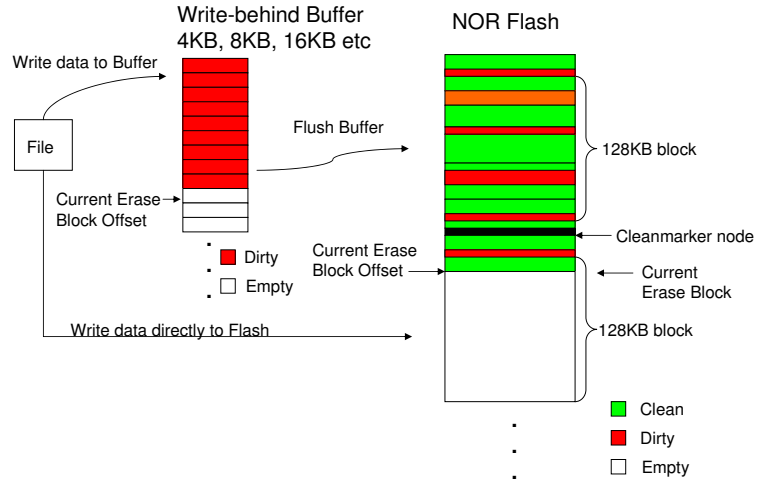


Fig. 11. JFFS2 with Write-behind Buffer

Figure 11 illustrates the design of the write-behind buffer. The lock is necessary to prevent multiple files from writing concurrently to the buffer. The current erase-block and offset are used to mark the location to write in flash. The buffer size can be dynamically modified at run time by using the proc entry `/proc/writebuf`. For example, the buffer size can be changed from 4KB to 8KB by invoking the command `'echo 8192 > /proc/writebuf'`. Modifying the buffer size at run-time has the advantage of adapting JFFS2 as memory usage changes.

The overall file system control structure is stored in the `"jffs2_sb_info"` data structure. This data structure contains several file system management data structures such as dirty, clean and free lists. The write-behind buffer is also added to the `"jffs2_sb_info"` data structure.

JFFS2 writes all data and meta-data as nodes in the log. Every node written to the log is preceded by a common node header which contains the full node length, node type and a cyclic redundancy checksum (CRC). There are three types of nodes, namely inode node, directory entry node and cleanmarker node. The inode node contains all meta-data as well as the data pertaining to an inode. The directory entry node is a directory entry or a link to an inode. A cleanmarker node is written to a newly erased block to show that the block has been successfully erased.

## 2. Operations

All file system related operations are sent to JFFS2 via the VFS (Virtual File System) layer. The VFS layer reads and write pages of data. The page size for our Intel StrongARM SA1100 processor is 4KB. A node contains no more than a single page of data. This is done to enable rapid decompression of data when a page is read. When a user writes data to a file, the VFS layer sends write requests to JFFS2 in multiples of the page size (4KB). As a result, 4KB writes or multiples of 4KB writes will always

write full nodes to the flash, preceded by a common node header. However, writes smaller than 4KB will be less efficient due to the overhead of compression and the common node header.

The following steps are taken to write data to a file in JFFS2 with a write-behind buffer:

1. The data to be written to a file is sent as pages to JFFS2 via the VFS layer.
2. JFFS2 creates a node and common node header for the page of data sent.
3. The buffer tries to add the node and its preceding header to the byte array only if it has available space. Flash is also checked for available space.
4. If the buffer doesn't have sufficient space to add this node and its preceding header, the buffer flushes its data to flash. Thereafter, the node and its header are added to the buffer. If flash doesn't have any free space, then garbage collection is triggered to create space to write in flash.
5. The buffer tries to concatenate the previous node in the buffer with the current node if they belong to the same file and their offsets are next to each other. Compacting nodes help conserve flash space since fewer common header nodes are written to flash. In addition, better compression ratios are achieved since bigger nodes are compressed. Two nodes are compacted into one node only if the size of the combined node is less than or equal to the page size (4KB). If two nodes are compacted into one node, their corresponding file's data range map is updated to notify the location changes.
6. Steps 1-5 are repeated until all pages for the file write are written to the file.

While reading data from a file, the buffer is first checked to see if any of the data nodes reside in the buffer. Nodes are read from flash if they don't reside in the buffer.

### 3. Flushing the Buffer to Flash

The write-behind buffer is flushed from main memory to flash during any of the following scenarios:

1. Buffer is full: The full buffer is written to flash to create space for future writes to the buffer.
2. JFFS2 is mounted: If JFFS2 is mounted after a power loss, the buffer is flushed to flash if it contains any data, thereby maintaining the reliability of JFFS2. During a system shutdown due to power loss, the buffer doesn't lose its data since its designed to use MRAM.
3. JFFS2 is unmounted: When JFFS2 unmounts itself, it tries to leave JFFS2 in a consistent state. As a result, all data from the buffer is written to flash.
4. "fsync" command is invoked: fsync is a command which forces a file to synchronize its data and metadata to flash.
5. "kupdated" is invoked: kupdated is a kernel daemon which is triggered periodically to synchronize the file system.

All the nodes in the buffer are written to the current eraseblock in flash. The buffer resets its current offset to the beginning of the buffer.

## E. Experiment Results

### 1. Introduction

Since our experimental setup doesn't have MRAM, the buffer is stored in DRAM for experimental purposes. Our processor energy consumption results provide an upper

bound since MRAM consumes lesser energy than DRAM [6]. The most common write file access patterns are small writes and large sequential writes. JFFS2 without the write-behind buffer performs well for large sequential writes since full nodes are written to flash. However, JFFS2 doesn't perform well for small writes since it writes synchronously to flash. To examine the effects of using a write-behind buffer for JFFS2, we have ran our experiments on varying buffer sizes, such as 4 KB, 8 KB and 16KB. The experiments were ran 20 times for the different configurations and the average results are shown.

## 2. Results and Analysis

### a. Sequential Write Performance

Small sequential writes to the same file is a common file access pattern. Logs are typically updated with small data periodically. In this experiment, we examine the effect of the buffer size and append size on the processor and flash energy consumption. A 64KB file is written sequentially at fixed intervals of time. The different append sizes are 32, 64 and 128 bytes.

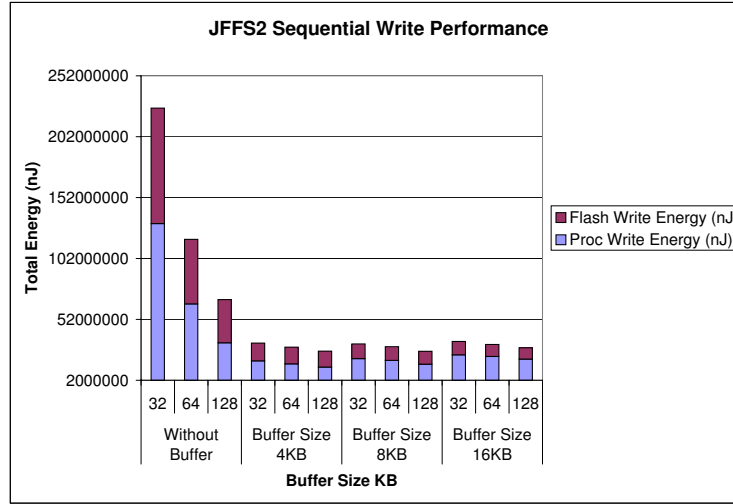


Fig. 12. JFFS2 Sequential Write Performance

Figure 12 illustrates the processor and energy consumption for varying buffer and append sizes.

As expected, JFFS2 without the buffer performs poorly for small append sizes (32 and 64 bytes). It consumes a lot of processor and flash energy because small nodes with their common node header are directly written to flash. Also, small nodes do not compress well.

The 4KB buffer significantly outperforms JFFS2 without a buffer for all append sizes. The 4KB (append size 128 bytes) reduces processor and flash energy consumption by 2-3 times. As the buffer size increases from 4KB to 8KB and 16KB, the processor energy consumption increases while the flash energy consumption decreases. The processor energy consumption increases due to the increased overhead of storing a larger buffer in memory. The flash energy consumption decreases since larger nodes compress better than smaller nodes and lesser write requests are sent

to flash. Also, the different append sizes do not affect the energy consumption of a given buffer size much. For instance, for a 16KB buffer, all three append sizes consume similar amounts of processor and flash energy since the buffer flushes its data once it full. Thus, the number of write requests are about the same.

#### b. Random Write Performance

This experiment is designed to examine the case of writing to multiple files at random locations. Such file accesses are common since many user processes modify existing data in files. Two 32KB files are written alternatively at random locations with 128 bytes of data to JFFS2 with variable sized buffers.

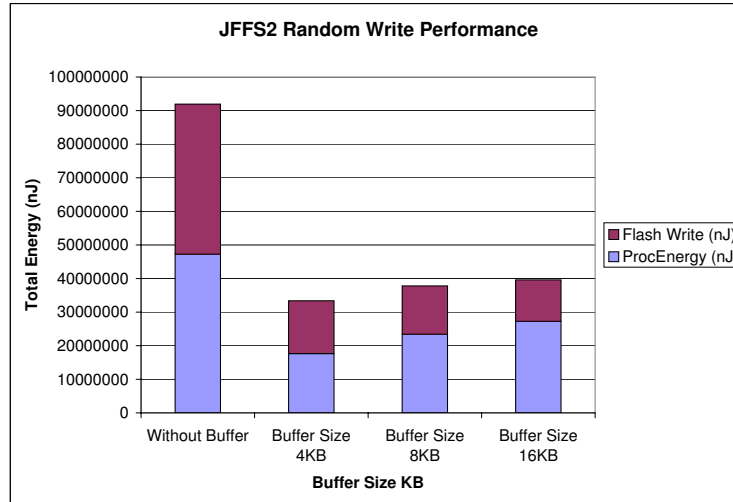


Fig. 13. JFFS2 Random Write Performance

Figure 13 shows the processor and flash energy consumption for such an operation.

Since both files are alternatively adding data to the buffer, nodes do not get



compacted since neighboring nodes in the buffer do not belong to the same file. The buffer only compacts nodes belonging to the same file with offsets next to each other. As a result, more data is written to flash, and thus the flash energy consumption is higher for all buffers than for buffers with sequential writes. The 4KB buffer again outperforms all other buffer sizes. The 4KB buffers's total energy consumption is 33.39 milli-joules compared to 91.9 milli-joules for JFFS2 without a buffer. This experiment shows that a small buffer does significantly reduce total energy consumption for small random writes to a file.

## CHAPTER V

### CONCLUSION

In the first part of the thesis, a macro-model describing the processor and flash energy consumption of the CRAMFS file system is developed. The file read operation of CRAMFS is compared with JFFS2 and EXT3 to study the advantages of each. The CRAMFS macro-model can be used by a system designer to estimate the power consumption of CRAMFS without using an actual power setup.

The second part of this thesis examines the effects of using MRAM as a write-behind buffer to improve the energy efficiency of JFFS2. Experimental results show that a 4KB write-behind buffer significantly reduces energy consumption by up to 2-3 times for small consecutive writes and random writes. We assume that MRAM should become widely available and economical in the near future [6]. A write-behind buffer using MRAM could improve energy efficiency and maintain file system reliability.

## CHAPTER VI

### FUTURE WORK

#### A. Macro-model for JFFS2 (NAND Flash) and YAFFS

YAFFS is a NAND flash based file system. JFFS2 recently offered support for NAND flash. As NAND flash is cheaper and offers more storage space than NOR flash, many large non-volatile embedded storage applications such as file storage and portable media player applications are using NAND flash as a persistent storage medium. A macro-model for JFFS2 (NAND flash) and YAFFS could be developed specifically for NAND flash.

#### B. Energy Efficient Garbage Collection for JFFS2

JFFS2 performs garbage collection to reclaim dirty space from the flash medium. The garbage collection thread tries to erase blocks by moving valid nodes to the tail of the log. This operation is designed for speed and not energy efficiency. A garbage collection algorithm needs to be developed which is fast and energy efficient.

## REFERENCES

- [1] J. Zedlewski, S. Sobti, N. Garg, F. Zheng, A. Krishnamurthy, and R. Wang, “Modeling hard-disk power consumption,” in *Second Conference on File and Storage Technologies*, San Francisco, CA, March 2003, pp. 217–230.
- [2] J. T. Moore, M. Hicks, and S. Nettles, “General-purpose persistence using flash memory,” Technical Report MS-CIS-97-3, Department of Computer and Information Science, University of Pennsylvania, Philadelphia, 1997.
- [3] D. Woodhouse, “JFFS,” <http://sources.redhat.com/jffs2/jffs2.pdf>, 2001.
- [4] C. Manning, “YAFFS,” <http://www.aleph1.co.uk/yaffs/yaffs.html>, September 2004.
- [5] S. Choudhury and R. N. Mahapatra, “Energy characterization of filesystems for diskless embedded systems,” in *Design Automation Conference*, San Diego, CA, 2004, pp. 566–569.
- [6] Freescale, “MRAM fact sheet,” [http://www.freescale.com/files/technology\\_manufacturing/doc/MRAM.FACT.SHEET.pdf](http://www.freescale.com/files/technology_manufacturing/doc/MRAM.FACT.SHEET.pdf), September 2004.
- [7] L. Torvalds, “CRAMFS,” <http://lxr.linux.no/source/fs/cramfs/README>, August 2002.
- [8] H. Boeve, C. Bruynseraede, J. Das, K. Dessen, G. Borghs, J. De Boeck, R. C. Sousa, L. V. Melo, and P. P. Freitas, “Technology assessment for the implementation of magnetoresistive elements with semiconductor components in magnetic random access memory (MRAM) architectures,” *IEEE Transactions on Magnetics*, vol. 35, no. 2, pp. 2820–2825, September 1999.

- [9] IBM, “IBM magnetic RAM (MRAM),” [http://www.research.ibm.com/resources/news/20001207\\_mramimages.shtml](http://www.research.ibm.com/resources/news/20001207_mramimages.shtml), September 2004.
- [10] Wikipedia, “Flash memory,” <http://en.wikipedia.org/wiki/Flashmemory>, September 2004.
- [11] T. K. Tan, A. Raghunathan, G. Lakshminarayana, and N. K. Jha, “High-level software energy macro-modeling,” in *Design Automation Conference*, Las Vegas, NV, 2001, pp. 605–610.
- [12] N. Jha, T. K. Tan, and A. Raghunathan, “Embedded operating system energy analysis and macro-modeling,” in *International Conference on Computer Design*, Freiburg, Germany, 2002, pp. 515–522.
- [13] S. Tweedie, “Journaling the Linux ext2fs filesystem,” in *LinuxExpo*, Durham, NC, 1998, pp. 25–29.
- [14] M. Rosenblum and J. K. Ousterhout, “The design and implementation of a log-structured file system,” *ACM Transactions on Computer Systems*, vol. 10, no. 1, pp. 26–52, 1992.
- [15] A. Kawaguchi, S. Nishioka, and H. Motoda, “A flash-memory based file system,” in *USENIX Winter*, New Orleans, LA, 1995, pp. 155–164.
- [16] M. Wu and W. Zwaenepoel, “eNVy: A nonvolatile main memory storage system,” in *Workshop on Workstation Operating Systems*, Napa, CA, 1993, pp. 116–118.
- [17] M. Levy, “Interfacing Microsoft’s Flash File System,” Intel Corporation, Portland, Oregon, 1993.

- [18] L. Chang and T. Kuo, “An efficient management scheme for large-scale flash-memory storage systems,” in *ACM SIG Symposium on Applied Computing*, Nicosia, Cyprus, March 2004, pp. 862–868.
- [19] E. L. Miller N. K. Edel, D. Tuteja and S. A. Brandt, “Mramfs: A compressing file system for non-volatile ram,” in *International Symposium on Modeling, Analysis, and Simulation of Computer and Telecommunication Systems*, Volendam, Netherlands, 2004, pp. 596–603.
- [20] M. Neufeld H. Dai and R. Han, “Elf: An efficient log-structured flash file system for micro sensor nodes,” in *2nd ACM Conference on Embedded Networked Sensor Systems*, Baltimore, Maryland, November 2004, pp. 176–187.
- [21] J. Pouwelse, “The LART pages,” <http://www.lart.tudelft.nl/>, May, 2003.
- [22] Intel, “Intel StrongARM processor,” [http://www.intel.com/design/pca/applications/processors/1110\\_brf.htm](http://www.intel.com/design/pca/applications/processors/1110_brf.htm), June 2004.
- [23] Intel, “Intel fast boot block flash memory,” <http://www.intel.com/design/flash/support/DevChar/28f800f3.htm>, May 2003.
- [24] Compaq, “iPAQ homepage,” <http://www5.compaq.com/products/handhelds/pocketpc>, August 2004.

## APPENDIX A

## CREATING A CRAMFS FLASH BASED IMAGE

1. First create a directory of files which are wanted in the required filesystem image

```
$ mkdir sample
$ cp -r /bin /tmp/sample
```

/tmp/sample contains a copy of the folder in /bin
2. Create the CRAMFS filesystem image out of the folder in /tmp/sample

```
$ mkcramfs /tmp/sample cramfs.img
```

cramfs.image is the name of the output file that has the CRAMFS image
3. Erase the flash partition

```
$ eraseall /dev/mtd2
```

This command erases the 3MB partition on flash that is used for the filesystem
4. Download the CRAMFS image (cramfs.img) to the LART board using z-modem serial transfer
5. Copy the filesystem image onto the flash partition

```
$ cat cramfs.img > /dev/mtd2
```
6. Load the CRAMFS module into the kernel

```
$ modprobe -a cramfs
```
7. Mount the CRAMFS filesystem

```
$ mount -t cramfs /dev/mtdblock2 /mnt
```

## VITA

Nitesh Goyal received his B.S. degree in computer science with Honors from The University of Texas at Austin in 2002. He has interned at various prominent technology companies such as Microsoft, JDEdwards and National Instruments.

Permanent Address

67 Gashi Avenue,

Mufulira

ZAMBIA

The typist for this thesis was Nitesh Goyal.